

10/03/00
JC894 U.S. PTO

Please type a plus sign (+) inside this box →

PTO/SB/05 (4/98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

10-04-00
JC894/677979
PTO
10/03/00

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 4646.002

First Inventor or Application Identifier Cox, Alan L.

Title STORAGE AND RETRIEVAL SYSTEM FOR WEB CACHE

Express Mail Label No. EL157448505US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 19]
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages 4]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of (when there is an assignee) Attorney
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. * Small Entity Statement(s) Statement filed in prior application, (PTO/SB/09-12) Status still proper and desired
14. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. Other:

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP)

of prior application No: 1.....

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

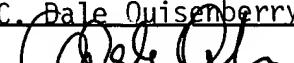
17. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)		or	<input type="checkbox"/> Correspondence address below
--	---	--	----	---

Name	C. Dale Quisenberry Goldstein & Healey, LLP		
------	--	--	--

Address	1177 West Loop South, 10th Floor		
---------	----------------------------------	--	--

City	Houston	State	TX	Zip Code	77027
Country	USA	Telephone	(713) 877-1515	Fax	(713) 877-1145

Name (Print/Type)	C. Dale Quisenberry	Registration No. (Attorney/Agent)	36,643
Signature			
Date			

Burden Hour Statement: This form is estimated to take 8.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Attorney Docket
No. 4646.002/CDQ

JC921 U.S. PTO
09/677979
10/03/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

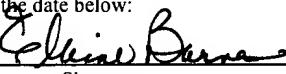
In re Application of:)
COX, ALAN L., et al)
Serial No.:) Group Art Unit:
Filed: Herewith) Examiner:
For: STORAGE AND RETRIEVAL SYSTEM)
FOR WEB CACHE)

GENERAL AUTHORIZATION

Assistant Commissioner for Patents
Washington, D.C. 20231

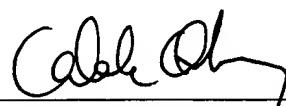
Sir:

Pursuant to 37 C.F.R. § 1.136(a)(3), Applicant authorizes the Commissioner to treat any concurrent or future reply that requires a petition for an extension of time as incorporating a petition for an extension of time for the appropriate length of time, assuming the required petition is not separately submitted with the reply. If no check is submitted with the reply, or if a check is submitted but it is insufficient to cover the required fee, then the Commissioner is authorized to charge the required fee to Deposit Account No. 20-1299, Order No. 4646.002/CDQ.

CERTIFICATE OF MAILING 37 C.F.R. § 1.10	
I hereby certify that this document and its attachments are being deposited with the United States Postal Service, "Express Mail" service, as Express Mail No. EM045512628US in an envelope addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, on the date below:	
10-02-00 Date	 Signature

Respectfully submitted,

Date: 2 Oct 00


C. Dale Quisenberry
Goldstein & Healey, L.L.P.
1177 West Loop South, 10th Floor
Houston, Texas 77027
(713) 877-1515
(713) 877-1145 (Facsimile)

DRAFT - 5262960

F:\CLIENT\4\4646\002\PTO\AUTHORIZ.CDQ

Box PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of Inventors, Alan L. Cox, et al., for *STORAGE AND RETRIEVAL SYSTEM FOR WEB CACHE*, which includes:

- A specification consisting of: A title page; an 17 -page disclosure; 5 pages of claims; and a one-page abstract of the disclosure; totaling 19 pages.
- 4 sheets of informal drawings.
- Declaration of the Inventors.
- Power of Attorney.
- A Verified Statement Claiming Small Entity Status.
- A self-addressed, stamped postcard showing receipt.
- General Authorization.
- 5 Assignments and Recordation Cover Sheets.
- Certificate of Mailing by Express Mail No. EM045512628US.

CLAIMS AS FILED	Small Entity	No Small Entity
Total Claims 39 - 20 = 19	x \$ 9.00 = \$153.00	or x \$18.00 = \$0
Independent Claims 5 - 3 = 2	x \$ 39.00 = 78.00	or x \$78.00 = \$0
Multiple Dependent Claim Presented	0	or 0
BASIC FEE	\$345.00	or \$ 690.00
TOTAL FILING FEE:	\$576.00	\$0

This firm's check in the amount of **\$576.00** is enclosed (the basic fee of \$345.00; 19 claims @ \$9= \$153.00; = Total \$576.00). Also, enclosed is our firm's check in the amount of **\$200.00** (fees for five (5) Assignments @ \$40.00). However, if the check is missing or insufficient, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to **Account No. 20-1299; Order No. 4646.002/CDQ**. Two copies of this transmittal cover are enclosed for your convenience.

Please address all correspondence in connection with this application to: **C. DALE QUISENBERRY (FILE 4646.002/CDQ); GOLDSTEIN & HEALEY, L.L.P.; 1177 West Loop South, 10th Floor; Houston, TX 77027; Telephone--(713) 877-1515; Facsimile--(713) 877-1145.**

GOLDSTEIN & HEALEY, L.L.P.


 C. Dale Quisenberry
 Reg. No. 36,643
 ATTORNEY FOR ASSIGNEE

CERTIFICATE OF MAILING
 37 C.F.R. 1.10

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail Post Office to Addressee Service, as Express Mail No. EL157448505US prior to the last scheduled pickup, in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231, on the date indicated below.

Date

Signature

J921 U.S. PTO
 10/09/677979 10/03/00